

HUBR 1261-US (10404743)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Hofgen, et al.

Serial No.

10/826,136

Filed

April 16, 2004

For

7-AZAINDOLES AND THE USE THEREOF AS

THERAPEUTIC AGENTS

September 20, 2004

This is to certify that this correspondence is being sent by First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Va. 22313-1450 on the

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Commissioner for Patents P.O. Box 1450 Alexandria, Va. 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

U.S. 2004106641 A1 corresponds to WO 0234747.

U.S. 2002161025 A1; U.S. 2003207892 A1; U.S. 6,344,467 B1; U.S. 6,008,231 A, correspond to WO 9809946 A.

AU 748403 B2 corresponds to DE 198 18 964 A.

While the information and references disclosed in this Information Disclosure

Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an

admission that any patent, publication or other information referred to therein is "prior art" for

this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure

Statement shall not be construed to mean that a search has been made or that no other material

information as defined in 37 CFR 1.56(a) exists. It is submitted that the Information Disclosure

Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to

consider the listed references.

The Commissioner is hereby authorized to charge any deficiency in the fees filed,

asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in

this application by this firm) to our Deposit Account No. 50-0624, under Order No. NY-HUBR-

1261-US. A duplicate copy of this paper is enclosed.

Respectfully submitted

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Enclosures

PTO/SB/08A (10-01)

Approved for use through 10/31/2002.OMB 0651-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				Complete if Known		
"				Application Number	10/826,136	
] [NFORMATION	N DI	SCLOSURE	Filing Date	April 16, 2004	
5	STATEMENT	BY A	APPLICANT	First Named Inventor	Hofgen, et al.	
				Art Unit		
l	(use as many sh	eets as	necessary)	Examiner Name		
Sheet	1	of	2	Attorney Docket Number	NY-HUBR 1261-US	

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		Number-Kind Code ² (if known)	MM-DD-YYYY				
	AA	US-2004-106641 A1	6/3/04				
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		Passages or Relevant Figures Appear	T⁵
	AL	WO-02/34747 A	5/2/02	Polymeropoulos, et al.		
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¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

	_	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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